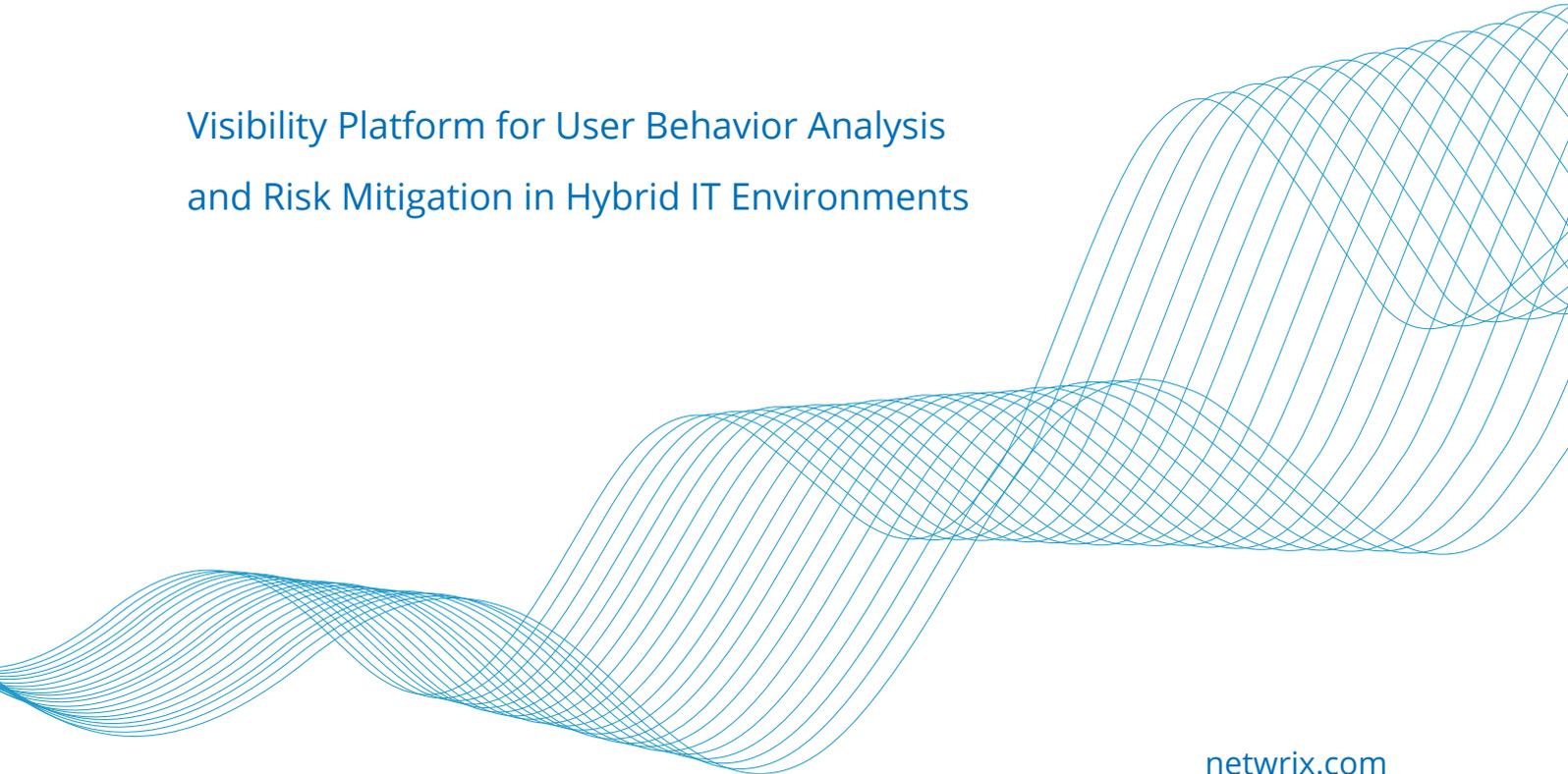




Netwrix Auditor

Visibility Platform for User Behavior Analysis
and Risk Mitigation in Hybrid IT Environments



01

Product Overview

Netwrix Auditor Platform

Netwrix Auditor is a **visibility platform for user behavior analysis and risk mitigation** that enables control over changes, configurations and access in hybrid IT environments **to protect data regardless of its location**. The platform provides security intelligence to **identify security holes, detect anomalies in user behavior** and **investigate threat patterns** in time to prevent real damage.



Detect data security threats, both on premises and in the cloud.



Pass compliance audits with less effort and expense.



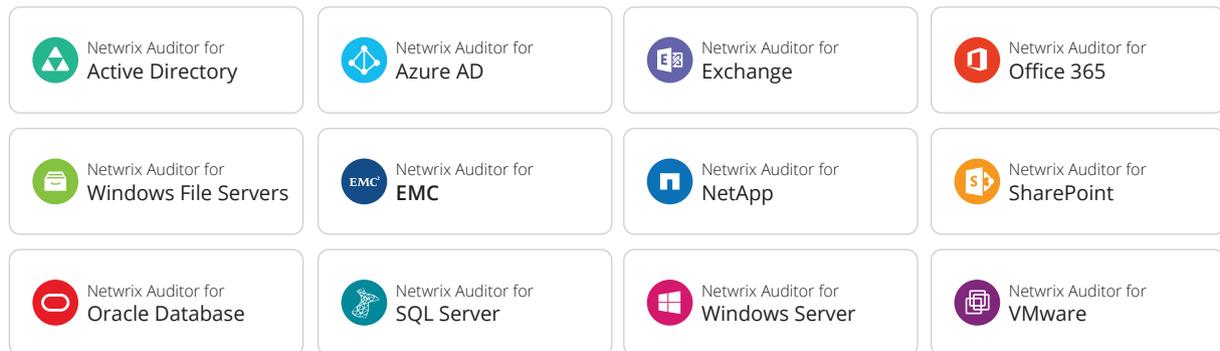
Increase the productivity of IT security and operations teams.

02

Applications

Netwrix Auditor Applications

Netwrix Auditor includes applications for Active Directory, Azure AD, Exchange, Office 365, Windows file servers, EMC storage devices, NetApp filer appliances, SharePoint, Oracle Database, SQL Server, VMware and Windows Server. Empowered with the **RESTful API** and **user activity video recording**, the platform delivers **visibility and control** across all of your on-premises or cloud-based IT systems in a unified way.



03

Benefits

Detect data security threats, both on premises and in the cloud

Netwrix Auditor bridges the visibility gap by delivering security intelligence about critical changes, data access and configurations in hybrid IT environments. Organizations can use this data to continuously assess and proactively mitigate security risks. The platform identifies users with the most anomalous activity over time, and alerts on behavior patterns that indicate a possible insider threat or account takeover. And it makes it easy to investigate any suspicious action or security policy violation so you can quickly determine the best response.

Pass compliance audits with less effort and expense

Netwrix Auditor provides the evidence required to prove that your organization's IT security program adheres to PCI DSS, HIPAA/HITECH, SOX, GLBA, FISMA/NIST800-53, FERPA, CJIS, NERC CIP, ISO/IEC 27001, GDPR and other standards. It also ensures easy access to your complete audit trail for more than 10 years.

Increase the productivity of IT security and operations teams

With Netwrix Auditor, there's no need to crawl through weeks of log data to answer questions about who changed what or when and where a change was made. Nor do you need to painstakingly write, maintain and run PowerShell scripts to identify inactive users, report on effective user permissions or perform software inventory tasks. The platform delivers actionable audit data to anyone in your organization who needs it.

04

In Action: Detect Data Security Threats

Continuously assess and mitigate data security risks

Identify high-risk configurations, such as excessive access permissions for the "Everyone" group or an abundance of directly assigned permissions, that need your immediate attention. Adjust your policy settings or permissions as necessary to minimize the ability of intruders and insiders to cause damage.

IT Risk Assessment: Overview

Gives you a bird's eye view of risks in your organization. Control and mitigate your IT risks by continuously monitoring and addressing weak points in your environment, such as chaotically organized privilege structure, "shadow" user and computer accounts, and improper content on your file shares.

Total risk level for Permissions: ■ Acceptable

| Risk | Level |
|--|---|
| User accounts with administrative privileges | ■ Acceptable |

Total risk level for Data: ■ Take action

| Risk | Level |
|---------------------------------------|--|
| Shared folders accessible by Everyone | ■ Take action |

Total risk level for Users and Computers: ■ Pay attention

| Risk | Level |
|---|---|
| User accounts with Password never expires | ■ Pay attention |

Object Permissions by Object

Shows file and folder permissions granted to accounts (either directly or via group membership), grouped by object path.

Object: \\fs1\shared\Finance (Permissions: Different from parent)

| Account | Permissions | Means Granted |
|--------------------------|--------------|---------------|
| ENTERPRISE\A.Kowalski | Full Control | Group |
| ENTERPRISE\A.Watson | Full Control | Group |
| ENTERPRISE\Administrator | Full Control | Group |
| ENTERPRISE\G.Brown | Full Control | Group |
| ENTERPRISE\J.Carter | Full Control | Directly |
| ENTERPRISE\P.Anderson | Full Control | Group |
| ENTERPRISE\T.Simpson | Full Control | Directly |
| fs1\Administrator | Full Control | Group |

Prevent privilege abuse and data breaches

Get a complete picture of effective user permissions in Active Directory and file servers. Lock down overexposed data and make sure that only eligible employees have access to critical resources. Stay aware of any modifications that affect user privileges so you can respond immediately.

05

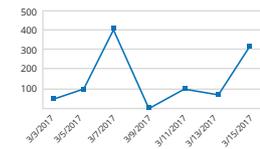
In Action: Detect Data Security Threats

Gain a bird's-eye view of activity across your IT environment

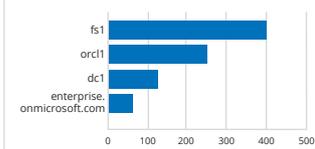
Get a high-level view of what's going on in your hybrid IT infrastructure with enterprise overview dashboards. Spot surges in anomalous activity, see which users are most active and determine which systems are most affected.

Enterprise Overview

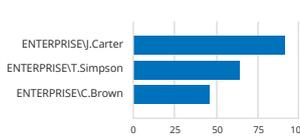
CHANGES BY DATE



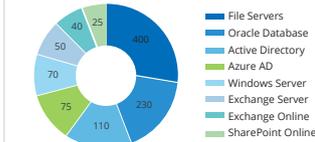
SERVICES WITH MOST CHANGES



USERS WHO MADE MOST CHANGES

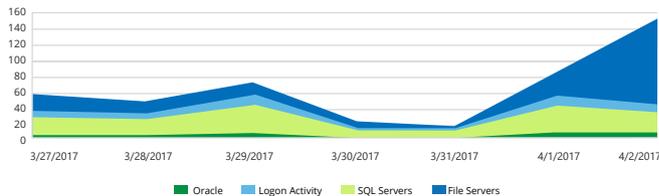


CHANGES BY DATA SOURCE



Failed Activity Trend

Shows consolidated statistics on failed actions, including failed read attempts, failed modification attempts, failed logons, etc. The report also lists the users with most failed attempts.



Date: 4/2/2017 (Attempts: 145)

| Who | Attempts |
|---------------------|----------|
| ENTERPRISE\D.Harris | 78 |
| ENTERPRISE\G.Brown | 7 |

Spot abnormal user behavior that would otherwise go unnoticed

Quickly identify subtle signs of possible threats, such as unusual logons that might indicate user identity theft or a disgruntled privileged user trying to hide his or her activity behind temporary accounts. With the user behavior and blind spot analysis reports, no malicious activity can slip under your radar.

06

In Action: Detect Data Security Threats

Receive alerts on threat patterns

Be alerted about unauthorized activity as it happens so you can prevent security breaches. For example, you can choose to be notified whenever someone has been added to the Enterprise Admins group or a user has modified many files in a short period of time, which could indicate a ransomware attack.

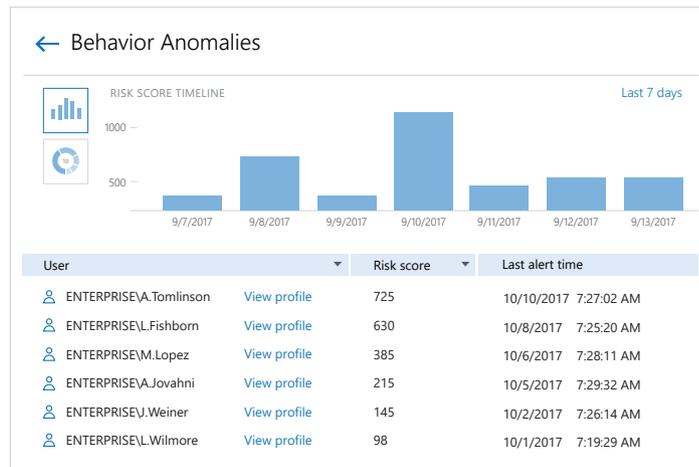
Netwrix Auditor Alert

Possible ransomware activity

The alert was triggered by 150 activity records being captured within 60 seconds. The most recent of those activity records is shown below. To review the full activity trail, use the interactive search in Netwrix Auditor.

| | |
|------------------|---|
| Who: | ENTERPRISE\J.Carter |
| Action: | Modified |
| Object type: | File |
| What: | \\fs3.enterprise.com\Documents\Contractors\payroll2017.docx |
| When: | 4/28/2017 11:35:17 AM |
| Where: | fs3.enterprise.com |
| Workstation: | mkt025.enterprise.com |
| Data source: | File Servers |
| Monitoring plan: | Enterprise Data Visibility Plan |
| Details: | Size changed from "807936 bytes" to "831488 bytes" |

This message was sent by Netwrix Auditor from au-srv-fin.enterprise.com.



Identify high-risk user accounts

Improve the detection of rogue insiders and accounts compromised by external attackers with a single aggregated view of anomalous activity by each individual. Use the associated risk scores to prioritize incidents so you can investigate and determine the best response.

07

In Action: Detect Data Security Threats

Detect the undetectable

Gain visibility into any system or application, even if it doesn't produce any logs, by video recording a user's screen activity. You can search and replay the recordings to determine exactly what actions were performed.

| Who | Object type | Action | What | Where | When |
|--------------------------------------|-------------|--------|------|-------|------|
| ENTERPRISE\J.Carter Show video... | Window | | | | |
| ENTERPRISE\J.Carter Show video... | Window | | | | |
| ENTERPRISE\J.Carter Show video... | Window | | | | |
| ENTERPRISE\J.Carter Show video... | Window | | | | |

| WHO | ACTION | WHAT | WHEN | WHERE |
|-----|----------|------|------|-------|
| | Modified | | | |
| | Modified | | | |

Investigate anomalies in user behavior

Whenever you detect user activity that violates your corporate security policy, use our interactive Google-like search to investigate how it happened so you can prevent similar incidents from occurring in the future.

08

In Action: Detect Data Security Threats

Centralize IT security monitoring with API-enabled integrations

Centralize security monitoring and reporting by feeding Netwrix Auditor data from other on-premises or cloud applications. You can also use the actionable security intelligence from Netwrix Auditor to augment the output data of your SIEM solution.

Netwrix Auditor Alert

Network routing rule modification

| | |
|------------------|--|
| Who: | Carter |
| Action: | Modified |
| Object type: | Configuration |
| What: | 10.0.0.1 |
| When: | 4/09/2017 12:58:23 PM |
| Where: | 10.0.0.1 |
| Workstation: | 188.243.82.139 |
| Monitoring plan: | Cisco ASA Visibility Plan |
| Details: | Raw Message changed from "" to "<165>Apr 30 2017 12:58:23: %ASA-5-111010: User 'Carter', running 'ASDM' from IP 188.243.82.139, executed 'route enterprise 192.169.12.101 255.255.255.255 192.170.10.1 1'" |

This message was sent by Netwrix Auditor from au-srv-fin.enterprise.com.

Modify Database Retention Settings

Set a database retention period to clear stale data

On

Store audit data in the database for days

OK

Cancel

Archive security analytics data for years

The two-tiered (file-based + SQL database) AuditArchive™ storage enables you to keep your big data archived for historic e-discovery or security investigations for more than 10 years.

09

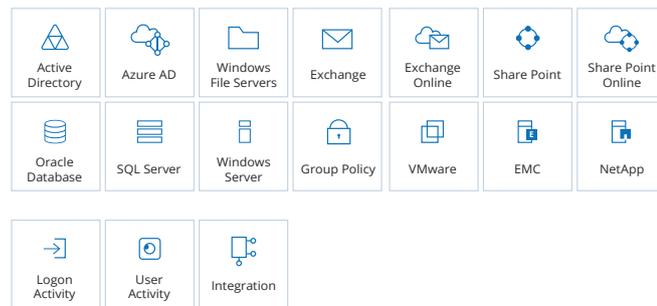
In Action: Pass Compliance Audits

Enable control over security policies

By supporting the broadest variety of IT systems, Netwrix Auditor helps you implement compliance controls across your entire infrastructure. It serves as a single point of access to the audit trail and enables you to easily provide proof that your security policies are enforced.

New Monitoring Plan

Get ready to monitor your environment. Choose a data source or pick a specific area of interest.



IT Risk Assessment: Data

Keep your finger on the pulse of access audience and discipline of your company's "business crown jewels" - the most valuable data assets.

Total risk level for Data: ■ Pay attention

| Risk | Level |
|--|---|
| Folders with "Everyone" group access | ■ Acceptable |
| File names containing sensitive data | ■ Acceptable |
| Potentially harmful files on file shares | ■ Pay attention |
| Direct permissions on files and folders | ■ Take action |

Demonstrate a proactive approach to risk mitigation

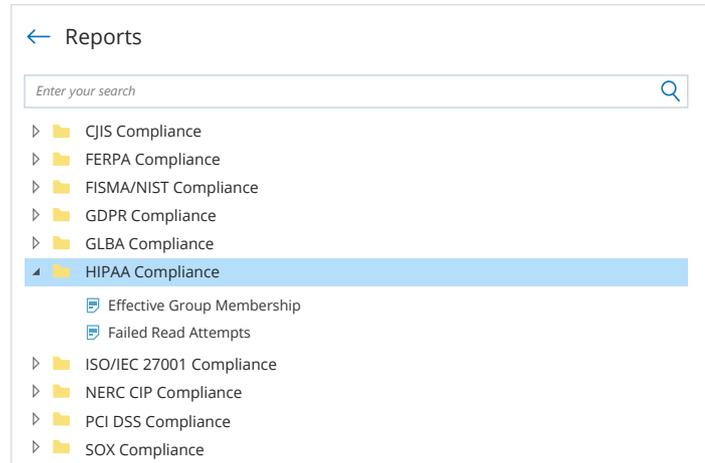
Visualize your security posture and impress auditors with interactive risk assessment dashboards. Use them to prove your ability to continuously evaluate and reduce risks to protected data.

10

In Action: Pass Compliance Audits

Take advantage of out-of-the-box compliance reports

Slash preparation time for audits and prove your compliance using out-of-the-box reports aligned with the compliance controls of FISMA, GDPR, HIPAA/HITECH, PCI DSS, SOX and many other common regulations.



Members of Local Administrators Group

Shows Windows servers, with members of the local Administrators group for each server. You can apply baseline filter to highlight servers with security issues, e.g., those where the Administrators group include users not in your baseline list. Use this report to prevent rights elevation and exercise security control over your organization.

| Server | Members | Status |
|----------------------|--|-----------------|
| fs1.enterprise.com | Administrator, fs1local, ENTERPRISE\Domain Admins | Issues Detected |
| sql01.enterprise.com | Administrator, J.Carter, ENTERPRISE\Domain Admins | Issues Detected |
| srv01.enterprise.com | Administrator, T.Simpson, ENTERPRISE\Domain Admins | Issues Detected |
| srv02.enterprise.com | Administrator, ENTERPRISE\Domain Admins | OK |
| srv03.enterprise.com | Administrator, ENTERPRISE\Domain Admins | OK |
| srv04.enterprise.com | Administrator, ENTERPRISE\Domain Admins | OK |

Prove that compliance controls are — and have always been — in place

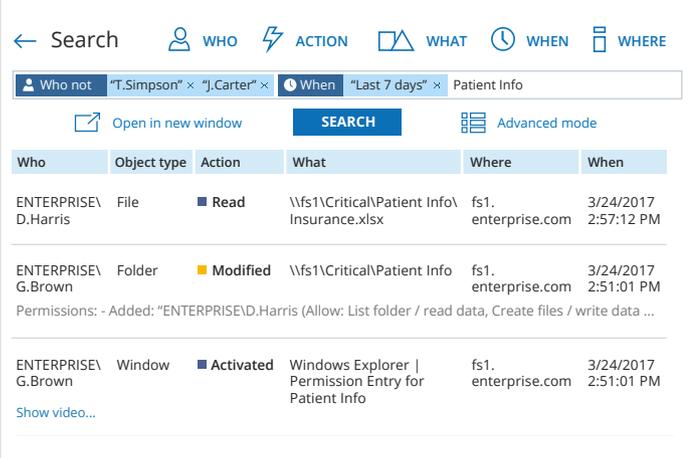
Demonstrate to auditors that group membership, effective user permissions and other configurations in your environment have always been in line with security policies. Easily compare current and past configurations to prove that no unauthorized changes took place.

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In Action: Pass Compliance Audits

Address auditor's questions faster

Quickly provide answers to unexpected questions from auditors, such as who accessed a particular sensitive file, or how access rights to a protected folder were modified during the past year and who made those changes. With Netwrix Auditor, what used to take weeks now takes minutes.



The screenshot shows the Netwrix Auditor search interface. At the top, there are filters for WHO (Who not), ACTION (Last 7 days), WHAT (Patient Info), WHEN (Last 7 days), and WHERE (Patient Info). Below the filters, there are buttons for 'Open in new window', 'SEARCH', and 'Advanced mode'. The main content is a table with columns: Who, Object type, Action, What, Where, and When.

| Who | Object type | Action | What | Where | When |
|---|-------------|-----------|--|------------------------|-------------------------|
| ENTERPRISE\ D.Harris | File | Read | \\fs1\Critical\Patient Info\ Insurance.xlsx | fs1. enterprise.com | 3/24/2017 2:57:12 PM |
| ENTERPRISE\ G.Brown | Folder | Modified | \\fs1\Critical\Patient Info | fs1. enterprise.com | 3/24/2017 2:51:01 PM |
| Permissions: - Added: "ENTERPRISE\D.Harris (Allow: List folder / read data, Create files / write data ... | | | | | |
| ENTERPRISE\ G.Brown | Window | Activated | Windows Explorer Permission Entry for Patient Info | fs1. enterprise.com | 3/24/2017 2:51:01 PM |

Below the table, there is a link 'Show video...'

Long-Term Archive

Location and retention settings for the local file-based storage of audit data.

Location and retention settings

Write audit data to: C:\Program Data\Netwrix Auditor\Data

Keep audit data for: 60 months

Netwrix Auditor uses [the LocalSystem account](#) to write audit data to the Long-Term Archive

[Modify](#)

Store and access your audit trail for years

Many compliance regulations require organizations to retain their audit trails for extended periods. Netwrix Auditor enables you to keep your audit trail archived in a compressed format for more than 10 years, while ensuring that all audit data can easily be accessed by authorized users at any time.

12

In Action: Increase the Productivity of IT Teams

Keep tabs on what's happening in your environment

Monitor all changes across your on-premises and cloud-based IT systems. See when a specific change was made, who made it, and what was changed, with the before and after values. Use this information to verify the purpose of each change and revert unwanted modifications before they turn into a problem.

All Group Policy Changes

Shows all changes to Group Policy objects, settings, links, and permissions, with the name of the originating workstation.

| Action | What | Who | When |
|-------------------|--|--------------------|----------------------|
| ■ Modified | Security Policy | ENTERPRISE\J.Smith | 3/23/2017 7:55:11 AM |
| Where: | dc1.enterprise.com | | |
| Workstation: | 172.17.35.12 | | |
| Path: | Computer Configuration (Enabled)/Policies/Windows Settings/Security Settings/ Account Policies/Password Policy | | |
| Modified | Policy: Enforce password history; Setting: 24 passwords remembered -> 3 passwords remembered; | | |
| Modified Modified | Modified Policy: Maximum password age; Setting: 20 days -> 200 days; Modified Policy: Minimum password length; Setting: 7 characters-> 4 characters; | | |

Account Permissions in Active Directory

Shows Active Directory objects that the security principal has explicit or inherited permissions on (either granted directly or through group membership). Use this report to see who has permissions to what in your Active Directory domain and prevent rights elevation. The permissions are reported only for users that belong to the monitored domain.

Account: \com\enterprise\Users\John Carter

| Object Name | Object Type | Means Granted |
|---|---------------|---------------|
| \com\enterprise\Computers | container | Directly |
| \com\enterprise | domainDNS | Group |
| \com\enterprise\Builtin | builtinDomain | Group |
| \com\enterprise\Builtin\Account Operators | group | Group |
| \com\enterprise\Builtin\Administrators | group | Group |
| \com\enterprise\Builtin\Backup Operators | group | Group |

Maintain good IT hygiene

Create a cleaner and more manageable environment using Netwrix Auditor's state-in-time reports. Regularly review your identity and access configurations, and easily verify that they match a known good state.

13

In Action: Increase the Productivity of IT Teams

Simplify reporting routines

Netrix Auditor supplies more than 200 predefined reports and dashboards that are easy to narrow down using built-in filtering, grouping and sorting. You can also easily address any specific security and compliance concerns by building custom reports using the Interactive Search feature.

Data Access Trend



Netrix Auditor 9.5

Visibility Platform for User Behavior Analysis and Risk Mitigation

Quick Start

- New Active Directory Plan
- New Windows File Servers Plan
- New Windows Server Plan
- New SQL Server Plan
- New Exchange Plan
- New Exchange Online Plan
- New Azure AD Plan
- All data sources

Intelligence

- Search
- Reports
- Behavior anomalies
- Enterprise overview
- Failed activity trend
- User account status changes
- Activity outside business hours
- Logons by single user from multiple endpoints
- Administrative group and role changes
- AD or Group Policy modifications by administrator

Configuration

- Monitoring Plans
- Alerts
- Subscriptions
- Integration
- Health log
- Settings

Speed report delivery

Netrix Auditor can automatically generate reports on the schedule you specify and either email them to stakeholders or save them in a dedicated file share for easy reference. Alternatively, you can give stakeholders access to Netrix Auditor so they can generate the reports they need on demand.

14

In Action: Increase the Productivity of IT Teams

Minimize system downtime

If an unauthorized or inappropriate change is made to your environment, you can quickly turn back the clock by reverting the settings to a previous state — without any downtime or having to restore from backup.

Active Directory Object Restore

Select Rollback Source

State-in-time snapshots (recommended)

Allows restoring deleted AD objects down to their attribute level based on the state-in-time snapshots made by Netwrix Auditor.

Audited domain:

Select a state-in-time snapshot

Active Directory tombstones

Provides partial Active Directory objects restore based on the information retained on tombstones. Use this option if no state-in-time snapshots are available for the selected period.

Audited domain:

Netwrix Auditor Alert

Possible DBA privilege abuse

| | |
|------------------|--|
| Who: | ENTERPRISE\J.Smith |
| Action: | Removed |
| Object type: | Table |
| What: | Databases\Customers\Tables\dbo.Cardholders |
| When: | 5/3/2017 7:19:29 AM |
| Where: | sql2.enterprise.com |
| Workstation: | mkt023.enterprise.com |
| Data source: | SQL Server |
| Monitoring plan: | Enterprise Database Visibility Plan |

This message was sent by Netwrix Auditor from au-srv-fin.enterprise.com.

Focus on what's really important

Regularly run risk assessments to pinpoint the areas that need your immediate attention. Use alerts to stay aware of actions you consider critical, such as the deletion of business-critical files or changes to your SQL Server configuration.

Addressing the Security and Compliance Challenges of Your Department and Your Business

CIO/IT Director

Keep your IT environment clean, manageable and secure.

CISO

Prevent data breaches and minimize compliance costs.

IT Manager

Take back control over your IT infrastructure and eliminate the stress of your next compliance audit.

Security Analyst

Identify security gaps and investigate suspicious user activity in time to prevent real damage.

System Administrator

Generate and deliver security and compliance reports faster.

MSP

Increase revenue by enabling transparency of managed environments and offering compliance as a service.

Deployment Options

On-premises, virtual or cloud — deploy Netwrix Auditor wherever you need it

On-premises

Fully supported on
**Microsoft's Windows
Server** Platform

Virtual

Available in appliances for
**VMware and Microsoft
Hyper-V**

Cloud

Fully supported and tested
in **Microsoft Azure**

Fully supported in
AWS Marketplace



RESTful API — endless integration capabilities for improved visibility and streamlined reporting



Centralize auditing and reporting

Netwrix Auditor collects activity trails from any existing on-premises or cloud applications and stores in a secure central repository, ready for search and reporting.



Get the most from your SIEM investment

By feeding more granular audit data into your HP Arcsight, Splunk, IBM QRadar or other SIEM solution, Netwrix Auditor increases the signal-to-noise ratio and maximizes SIEM value.



Automate IT workflows

You can feed audit data from Netwrix Auditor into other critical IT processes, such as change management or service desk, thereby automating and improving their workflows.

Visit the Netwrix Auditor Add-on Store at www.netwrix.com/go/add-ons to find free add-ons built to integrate Netwrix Auditor with your IT ecosystem.

Built for IT environments of all sizes, Netwrix Auditor architecture supports the growth of your organization



Banking and Finance, 100 employees

Heritage Bank relies on Netwrix Auditor to govern essential security and compliance policies.



Construction, 1,4K employees

The Donohoe Companies deployed Netwrix Auditor to solve its data security and accountability challenges.



Education, 5,5K employees

American Career College ensures campus data security with Netwrix Auditor for Active Directory.



Government, 25K employees

State of Maine meets state and federal security guidelines with Netwrix Auditor.



Next Steps

Free Trial: setup in your own test environment

- On-premises: netwrix.com/freetrial
- Virtual: netwrix.com/go/appliance
- Cloud: netwrix.com/go/cloud

Test Drive: virtual POC, try in a Netwrix-hosted test lab netwrix.com/testdrive

Live Demo: product tour with Netwrix expert netwrix.com/livedemo

Contact Sales to obtain more information netwrix.com/contactsales

Awards



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